



FW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of

SATO et al.

Art Unit 1765

Application Number: 10/648,399

Filed: August 27, 2003

Examiner

Alanko, Anita Karen

For: METHOD FOR CONVERTING AND PURIFYING
MATERIALS FOR MODIFYING SURFACES OF
SEMICONDUCTOR NANOPARTICLES

Attorney Docket No. HIRA.0122

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

LETTER

Sir:

The below-identified communications are submitted in the above-captioned application or proceeding:

- ☐ Priority Document
- ☐ Request for Priority
- ☐ Response to Notice of
Non-Compliant Amendment

- ☒ Information Disclosure Statement w/Form-1449
and references
- ☐ Petition under 37 C.F.R. 1.47(a)
- ☐ Check for \$ 0.00

- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 08-1480**. A duplicate copy of this sheet is attached.

Respectfully submitted,

Stanley P. Fisher
Registration Number 24,344

Juan Carlos A. Marquez
Registration Number 34,072

REED SMITH LLP
3110 Fairview Park Dr., Suite 1400
Falls Church, Virginia 22042
(703) 641-4200
May 10, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of)	
)	
SATO et al.)	Art Unit 1765
)	
Application Number: 10/648,399)	
)	
Filed: August 27, 2003)	Examiner
)	Alanko, Anita Karen
)	
For: METHOD FOR CONVERTING AND PURIFYING)	
MATERIALS FOR MODIFYING SURFACES OF)	
SEMICONDUCTOR NANOPARTICLES)	
)	
Attorney Docket No. HIRA.0122)	
)	
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each foreign patent, or each publication or portion thereof listed or herein identified, is submitted herewith.

CERTIFICATION

This Information Disclosure Statement is submitted after three months from the filing date of the above-identified U.S. patent application and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application.

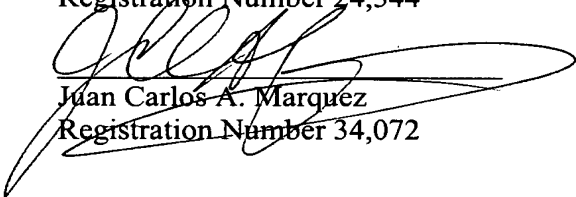
However, it is also hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Therefore, no fee is due at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

Stanley P. Fisher
Registration Number 24,344


Juan Carlos A. Marquez
Registration Number 34,072

REED SMITH LLP
3110 Fairview Park Drive
Suite 1400
Falls Church, Virginia 22042
(703) 641-4200
May 10, 2007



Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER	SERIAL NUMBER
	HIRA-0122	10/648,399
	APPLICANT	
	SATO et al.	
	FILING DATE	GROUP
	August 27, 2003	1765

U.S. Patent Documents

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

Foreign Patent Documents

Examiner Initial		DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
		WO 00/17655	9/17/1999	PCT			X	
		WO 02/055186 A2	10/12/2001	PCT			X	
		2002-525394*	9/17/1999	Japan				X

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		

PTO1449

*Corresponds to PCT Application No. WO 00/17655 listed above